

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	§	
Chung-Yi Yu et al.	§	Group Art Unit: Unknown
	§	
Serial No. Unknown	§	
	§	Examiner: Unknown
Filed: Herewith	§	
	§	
For: Method Of Forming Self-Aligned Poly For	§	
Embedded Flash	§	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

The above-identified application is being filed after June 30, 2003. Therefore, pursuant to the waiver of the requirement under 37 CFR 1.98 (a)(2)(i) as stated in a Pre-OG Notice dated July 11, 2003, copies of only the foreign patent documents and non-patent literature listed on the enclosed modified Form PTO-1449 are attached.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Respectfully submitted,

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R-71965.1

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Bonnie Boyle  
Printed Name

*Bonnie Boyle*  
Signature

In place of PTO-1449 Form				U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	
				Filing Date	Herewith
				Applicant(s)	Chung-Yi Yu et al.
				Art Unit	Unknown
				Examiner Name	Unknown
SHEET	1	OF	1	Attorney Docket Number	24061.226

U. S. PATENT DOCUMENTS				
Examiner's Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	AA	6077733	6/20/00	Chen et al.
	AB	6174767	1/16/01	Chi
	AC	6225646	5/1/01	Gardner et al.
	AD	6261905	7/17/01	Chen et al.
	AE	6435942	8/20/02	Jin et al.
	AF	6436764	8/20/02	Hsieh
	AG	6436769	8/20/02	Kanamori
	AH	6440796	8/27/02	Sung
	AI	6441429	8/27/02	Hsieh et al.
	AJ	20020119629	8/29/02	Kim et al.
	AK	6451654	9/17/02	Lin et al.
	AL	6451698	9/17/02	Au et al.
	AM	6451699	9/17/02	Gregory
	AN	20020142543	10/3/02	Lin et al.
	AO	20020142545	10/3/02	Lin
	AP	6464855	10/15/02	Chadda et al.
	AQ	6465836	10/15/02	Lin et al.
	AR	6465841	10/15/02	Hsieh et al.
	AS	6468863	10/22/02	Hsieh et al.
	AT	6479348	11/12/02	Kamal
	AU	6482700	11/19/02	Chen et al.
	AV	6486032	11/26/02	Lin et al.
	AW	6489649	12/3/02	Kobayashi et al.
	AX	6492231	12/10/02	Liu et al.
	AY	6504206	1/7/03	Sung et al.
	AZ	6524167	2/05/03	Tsai et al.
	A1	6524915	2/25/03	Kim et al.
	A2	6528844	3/4/03	Hopper et al.
	A3	6531732	3/11/03	Sugita et al.
	A4	6531734	3/11/03	Wu
	A5	6534821	3/18/03	Hsieh et al.
	A6	20030052361	3/20/03	Liu et al.

FOREIGN PATENT DOCUMENTS					
Examiner's Initials	Cite No.	Foreign Patent Document (Country Code - Number - Kind)	Publication Date MM-DD-YYYY	Patentee or Applicant of Cited Document	Translation Y/N
OTHER PRIOR ART					
Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume-issue number(s), publisher, city/country where published			
Examiner Signature				Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.